

SSB0009

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William ORTNER, et al.

Group Art Unit: 3693

Serial No. : 10/628,208

Examiner: Jason M. Borlinghaus

Filed: July 29, 2003

For: METHOD AND SYSTEM FOR PROVIDING MANDATORILY
CONVERTIBLE SECURITIES WITH AN ASSOCIATED CALL SPREAD
AGAINST A TRUST

SUBMISSION OF ISSUE FEE PAYMENT

U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Issue Fee
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

Responsive to the Notice of Allowance and Issue Fee Due mailed August 31, 2009, the undersigned is submitting herewith the Issue Fee in the amount of \$1,810.00 in the above-identified application. A copy of Part B of the issue fee transmittal is submitted herewith. Please address all future correspondence in this application to the undersigned at the following address:

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This application is assigned to Citigroup Global Markets, Inc., 388 Greenwich Street, New York, New York, 10013.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-4402.

Entry of this submission and prompt notification thereof is respectfully requested.

Respectfully submitted,

Dated: November 20, 2009

By: /George T. Marcou, Reg. No. 33,014/
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